U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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ATTORNEY'S DOCKET NUMBER TRANSMITTAL LETTER TO THE UNITED STATES US020636 DESIGNATED/ELECTED OFFICE (DO/EO/US) U.S. APPLICATION NO. (If known, **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371** INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PCT/IB2003/006099 18 December 2003 TITLE OF INVENTION TEMPERATURE CORRECTION FOR PHOSPHOR CONVERTED LEDS-APPLICANT(S) FOR DO/EO/US Chin CHANG Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: 1. This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). 7 Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). ~ 9 An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). 10. l

Items 11 to 20 below concern document(s) or information included:

11. 🔽 An Information Disclosure Statement under 37 CFR 1.97 and 1.98.

12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.

13. 🔽 A preliminary amendment.

An Application Data Sheet under 37 CFR 1.76.

A substitute specification.

A power of attorney and/or change of address letter.

A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825. 17. L

18. A second copy of the published International Application under 35 U.S.C. 154(d)(4).

A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

Express Mail Certificate; PTO/SB/80; PTO/SB/96; PTO/SB/08A; Charge Authorization; Receipt 20.

Other items or information: Confirmation Postcard

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT. Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. Page 1 of 2

PTO-1390 (Rev. 02-2005)

Approved for use through 3/31/2007. OMB 0651-0021

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U.S. APPLICATION NO. (if known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO.					ATTORNEY'S DOCKET NUMBER			
10/54U6/U PCT/IB2003/006099					US020636			
The following fees have been submitted 21. ■ Basic national fee					CALCULATIONS	PTO USE ONLY		
21. 🗾 Basi	c national fee	\$ 300.00						
If International po PCT Article 33	nination fee reliminary examina (1)-(4) ns	\$ 200.00						
23. Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority					\$ 400.00			
TOTAL OF 21, 22 and 23 =					\$ 900.00			
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.								
Total Sheets	Extra Sheets		n additional 50 or fraction up to a whole number)	RATE				
- 100 =	/50 =			× \$250	\$			
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(h)).					\$			
CLAIMS	NUME	ER FILED	NUMBER EXTRA	RATE	\$			
Total claims	19	- 20 =	0	x \$50	\$ 0.00			
Independent clair	ns 3	- 3 =	0	× \$200	\$ 0.00			
MULTIPLE DEPE	MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360				\$			
			TOTAL OF ABOVE	CALCULATIONS =	\$ 0.00			
Applicant cla	ims small entity s	tatus. See 37 CFF	R 1.27. Fees above are redu	ced by 1/2.				
	· · · · · · · · · · · · · · · · · · ·	\$ 900.00						
_	\$130.00 for furni ate (37 CFR 1.49	\$						
TOTAL NATIONAL FEE =					\$ 900.00			
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +					\$ 40.00			
			TOTAL I	EES ENCLOSED =	\$ 940.00			
				Amount to be refunded:	\$			
					Amount to be charged:	\$ 940.00		
a. A check in the amount of \$ to cover the above fees is enclosed.								
b. Please charge my Deposit Account No. 14-1270 in the amount of \$ 940.00 to cover the above fees. A duplicate copy of this sheet is enclosed.								
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 14-1270 A duplicate copy of this sheet is enclosed.								
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.								
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.								
	RESPONDENCE	Han						
SIGNATURE SIGNATURE								
				Robert J. Kraus				
Philips Electronics North America Corporation NAME NAME								
P.O. Box 3001 26358								
Briarcliff Manor, NY 10510 REGISTRATIO					ON NUMBER			

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Atty. Docket

CHIN CHANG

US020636

Serial No.

Group Art Unit

Filed: CONCURRENTLY

Ex.

COLOR TEMPERATURE CORRECTION FOR PHOSPHOR CONVERTED LEDS

Commissioner for Patents Alexandria, VA 22313-1450

CERTIFICATE	OF	EXPRESS	MAILING

Express Mail Label No. EV664852603

Date of Deposit Tune 24, 2005

I hereby certify that this paper and/or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to the Commissioner for Patents, PO Box, 1450, Alexandria, VA 22313-1450

Elissa DeLuccy

Typed Name

Signatura

10/540670 ICO9 Rec'd PCT/PTO 24 JUN 2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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COLOR TEMPERATURE CORRECTION FOR PHOSPHOR CONVERTED LEDS

Honorable Commissioner for Patents Alexandria, VA 22313-1450

AUTHORIZATION PURSUANT TO 37 CFR €1.136(a)(3) AND TO CHARGE DEPOSIT ACCOUNT

Sir:

The Commissioner is hereby requested and authorized to treat any concurrent or future reply in this application requiring a petition for extension of time for its timely submission, as incorporating a petition for extension of time for the appropriate length of time.

Please charge any additional fees which may now or in the future be required in this application, including extension of time fees, but excluding the issue fee unless explicitly requested to do so, and credit any overpayment, to Deposit Account No. 14-1270.

Respectfully submitted,

Robert J. Kraus, Reg. No. 26,358

Attorney

(914) 333-9634